

# **Communication Statement** **State of South Dakota**

Complete each section that pertains to your type of expenditure or communication.

## **Section 1 – Independent Expenditure**

State law requires any person or qualified nonprofit corporation that makes an independent expenditure for a communication which expressly advocates for or against a candidate, public office holder, ballot question or political party totaling one thousand dollars or more to file a statement within forty-eight hours of the time that the communication is disseminated, broadcast, or otherwise published.

Name of Person: DAVID A. GARDNER, ATTORNEY

Name of Qualified Nonprofit Corporation or Organization: SECURITIES INDUSTRY AND FINANCIAL MARKETS ASSN.

Street Address, City and State: 120 BROADWAY, 35<sup>TH</sup> FLOOR, NEW YORK, NY 10271-0080

List the name of each candidate, public office holder, ballot question or political party mentioned in the communication, the amount spent on the communication and a description of the content of the communication.

Name	Description of Content	Amount
INITIATED MEASURE 9	WEB SITE	\$ 7000.00
INITIATED MEASURE 9	PUBLIC RELATIONS	18,000.00
INITIATED MEASURE 9	PHONE POLLING	17,300.00

Date: 10/20/08

Signature: David A. Gardner

attorney for SIFMA

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## **Section 2 – Independent Expenditure by Small Organization**

State law requires if the independent expenditure is made by an organization comprised of twenty or fewer members or shareholders, this statement must also include the name and address of each shareholder or member who owns ten percent or more of the organization.

Name of Shareholder or Member	Street Address
	Filed this <u>21<sup>st</sup></u> day of <u>OCT</u> 20 <u>08</u>
	<u>Chris Nelson</u>
	SECRETARY OF STATE

Date: \_\_\_\_\_

Signature: \_\_\_\_\_